

10-26-04

IFWX

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/616,871

Filing Date:

July 10, 2003

Applicant:

Hung T. Du et al.

Group Art Unit:

2834

Examiner:

To be determined

Title:

Dynamoelectric Machine Having An Encapsulated Coil Structure

Attorney Docket:

0275Y-000431/CPD

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. <u>COPIES</u>

A. Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which
caused it to be listed; (iii) for each cited pending U.S. application, the application
specification including the claims, and any drawing of the application which
caused it to be listed including the claims directed to that portion; and (iv) all
other information or that portion which caused it to be listed.

В. 🗌	Any	patents	, pub	lications	or	other	inforr	nation	which	are	listed	on	Form
				of PTO-8									

10616871

previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
D. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g).)
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
A. Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
B. \(\int\) A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
1. See the attached foreign patent office communication from a counterpart foreign application:
2. English translations or English abstracts are provided as indicated

3. X Other:

German Patent Application DE195 24 431: (VDO Adolf Schindling AG, German), filed on July 5, 1995 and published on January 9, 1997, relates to a fuel pump. It is described that the fuel pump comprises an electric motor with an armature wherein for noise reduction the commutator (1) and the coil heads (2) provided at both ends are encapsulated by plastic material.

III.

a stat	an Utility Model No. 1 966 275: Was published on August 17, 1967, and describes or for an electric motor having the coil heads of the windings embedded in a resin ain high mechanical strength against vibrations.							
	C. The following additional information is provided for the Examiner's consideration.							
IV.	CROSS REFERENCE TO RELATED APPLICATION(S)							
	A. The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.							
	Serial No. Filing Date Art Unit							
٧.	THIS IDS IS BEING FILED UNDER							
	A.							
	1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.							
	2. within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.							
	3. ☑ before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).							
	4. Defore the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.							
	B							

	before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
	1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
	2. See the certification below. No fee is required.
	C.
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A. \square each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was

known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 C.F.R. 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

- A. A check in the amount of \$180.00 is enclosed for the above identified fee.
- B. Please charge Deposit Account No. 02-2548 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2548.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 02-2548.

Respectfully submitted,

Dated: <u>CCT 25,2004</u>

Roland A. Fuller III Reg. No. 31160

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

RAF/JJK

10/010 074

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	SMITTAL ORM	[Fili	ing Date	July 10	July 10, 2003			
		(##e = :	Fire	st Named Inventor	Hung	T. Du et al.			
(to be used for all corre	espondence after initia	ıı tılıng)	Art	t Unit	2834	2834			
			Ex	aminer Name	To be	To be determined			
Total Number of Pages in	n This Submission		Att	torney Docket Number	0275Y	7-000431/CPD			
		ENCLOS	SUR	RES (check all that apply)					
Fee Transmittal For	m	Drawing	g(s)			er Allowance Communication to chnology Center (TC)			
Fee Attached			_	elated Papers		peal Communication to Board of peals and Interferences			
Amendment / Reply	′	Petition			Ap _l (Ap	peal Communication to TC peal Notice, Brief, Reply Brief)			
After Final		Provision	onal .	Convert to a Application	Pro	oprietary Information			
Affidavits/declar	ration(s)			torney, Revocation Correspondence Address	Sta	atus Letter			
Extension of Time F	Request	Terminal Disclaimer				Other Enclosure(s) (please identify below):			
Express Abandonm	ient Request	Request for Refund CD, Number of CD(s)				Form 1449 citing 69 U.S. References, 26 Foreign Documents (Foreign Documents enclosed); and return postcard.			
Information Disclose	ure Statement		enciosed); and return postc						
Certified Copy of Pr Document(s)	riority	Remark	rks	fees that may be req	uired unde	uthorized to charge any additional or 37 CFR 1.16 or 1.17 to Deposit cate copy of this sheet is enclosed.			
Response to Missin Incomplete Application					- 1-11-				
Response to Mi Parts under 37 1.52 or 1.53					_				
	SIGNAT	URE OF A	\PPI	LICANT, ATTORNEY,	OR AGEN	IT			
Firm or Individual name	Harness, Dickey &			Attorney Name Roland A. Fuller III		Reg. No. 31,160			
Signature	. D	<u></u>	U (11						
Date	October 25, 2004								
	CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this Service with sufficient Alexandria, VA 22313-1	postage as first c	lass mail in	imile an	e transmitted to the USPTO envelope addressed to:	O or depos Commissio	sited with the United States Postal oner for Patents, P.O. Box 1450,			
Typed or printed name	Andrea Blende	эa	_		Express Mail Label No.	EV 406 076 462 US (10/25/2004)			
Signature	Unch	ea l	36	Pender	Date	October 25, 2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ersons are required to respond to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are
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for FY 2005

TOTAL AMOUNT OF PAYMENT

Effective 10/01/2004. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27

180

	Complete if Known					
Application Number	10/616,871					
Filing Date	July 10, 2003					
First Named Inventor	Hung T. Du et al.					
Examiner Name	To be determined					
Art Unit	2834					
Attorney Docket No.	0275Y-000431/CPD					

	ME.	THOD OF	PAYME	NT (check all t	that app	ly)				<u></u>	FEE C	ALCULATION (continued)	
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Account		02-2548						1051	130	2051	65	Surcharge - late filing fee or oath	
Number				1052	50	2052	25	Surcharge - late provisional filing fee					
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Account		Black & E	Decker (l	U.S.) Inc.				1812	2,520	1812	2,520	Non-English specification For filing a request for reexamination	-
Name								1804	920*	1804	920*	Requesting publication of SIR prior to	
				eck all that ap								Examiner action	
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		FI	EE CAL	CULATION								month	
1. BAS	SIC,FI	LING FE	E					1253	980	2253	490	Extension for reply within third month	
Large Entity Fee Fe		mall Entit	_	ee Description	1			1254	1,530	2254	765	Extension for reply within fourth month	
Code (\$)	- 1	ode (\$)		ec Description		Fee Paid		1255	2,080	2255	1,040	Extension for reply within fifth month	
1001 79	0 20	001 39	5 U	tility filing fee				1401	340	2401	170	Notice of Appeal	
1002 35	- 1	002 17		esign filing fee				1402	340	2402	170	Filing a brief in support of an appeal	
1003 55		003 27		lant filing fee			_	1403	300	2403	150	Request for oral hearing	
1004 79 1005 16	· -	004 39 005 80		eissue filing fee rovisional filling			\dashv	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
				· ·			_	1452	110	2452	55	Petition to revive - unavoidable	
		SUBT	OTAL ((1)		(\$) 0		1453	1,370	2453	685	Petition to revive – unintentional	
2. EXTRA	CLAI	M FEES	FOR	UTILITY AN	ID RE	SSUF		1501	1,370	2501	685	Utility issue fee (or reissue)	
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Total Claims	<u></u>	-20 **	= [<u> </u>		= 0	_	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	. ———
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	Fee	Fee	Fee	Fee Descrip	ation			1809	790	2809	395	Filing a submission after final rejection	, —
	(\$)	Code	(\$)									(37 CFR § 1.129(a))	
	18 88	2202 2201	9 44	Claims in ex				1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
4	300	2201	150			in excess of 3 claim, if not pai							
1						dent claims ove		1801	790	2801	395	Request for Continued Examination (RCE)	
1204 8	88	2204	44	original pate	nt			1802	900	1802	900	Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent							of a design application						
SUDTOTAL (2)					Other fe	e (spec	ify)						
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Name (Print/Type) Roland A. Fuller III (Attorney/Agent) 31,160 Telephone 248-641-1600 Signature Date October 25, 2004

WARNING: Information on this form may become public. Credit card information should not be

included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OTPE VI

FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 5

A				
ATTORNEY DOCKET NO.	SERIAL NO.			
0275Y-000431/CPD	10/616,871			
APPLICANT				
Hung T. Du et al.				
FILING DATE	GROUP			
July 10, 2003	2834			

U.S. P	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		3,709,457	1/9/1973	Church		
. 2.		3,813,763	6/4/1974	Church		
3.		3,963,949	6/15/1976	Church		
4.		4,066,606	1/3/1978	Vargiu et al.		
5.		4,077,939	3/7/1978	Paparatto et al.		
6.		4,470,786	9/11/1984	Sano et al.		
7.		4,696,631	9/29/1987	Nitt		
8.		4,863,651	9/5/1989	Koten		
9.		4,950,438	8/21/1990	Nakamura et al.		
10.		4,964,210	10/23/1990	Takagi	<u> </u>	
11.		5,059,370	10/22/1991	Kojima		
12.		5,137,940	8/11/1992	Tomiyoshi et al.		
13.		5,153,263	10/6/1992	Liu et al.		
14.		5,473,213	12/5/1995	Kahle, Sr.		
15.		5,538,067	7/23/1996	Nakamura et al.		
16.		5,639,403	6/17/1997	Ida et al.		
17.		5,714,828	2/3/1998	Ackermann et al.		
18.		5,814,412	9/29/1998	Terada et al.		
19.		5,887,643	3/30/1999	Nakamura et al.		
20.		5,937,930	8/17/1999	Nakamura et al.		
21.		5,955,813	9/21/1999	Bolte et al.		

Examiner:	Date Considered:
	Date Considered.

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 5

ATTORNEY DOCKET No.	SERIAL NO.	
0275Y-000431/CPD	10/616,871	
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July 10, 2003	2834	

U.S. F	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.		5,973,424	10/26/1999	Engelberger et al.		
. 23.		6,177,741	1/23/2001	Lutkenhaus et al.		
24.		6,315,527	11/13/2001	Makino et al.		
25.		6,451,230	9/17/2002	Eckardt et al.		
26.		6,477,763	11/12/2002	Santander et al.		
27.		6,643,910	11/11/2003	Kanai et al.		
28.		6,645,416	11/11/2003	Bock et al.		
29.		6,661,137	12/9/2003	Gauthier et al.		
30.		6,673,463	1/6/2004	Onishi et al.		
31.		6,683,397	1/27/2004	Gauthier et al.		
32.		2001/045687	11/29/2001	Baumann et al.		
33.		2001/048261	12/6/2001	Kojima et al.		
34.		2002/047425	4/25/2002	Coupart et al.		
35.		2002/148099	10/17/2002	Eydelie et al.		
36.		2002/149281	10/17/2002	Saint-Michel et al.		
37.		2002/171305	11/21/2002	Coupart et al.		
38.		6,531,797	3/11/2003	Eydelie et al.		
39.		6,201,321	3/13/2001	Mosciatti et al.		
40.		3,047,756	7/31/1962	Coggeshall		
41.		6,462,453	10/8/2002	Asao et al.		

Examiner:	Date Considered:

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 3 of 5

ATTORNEY DOCKET No.	SERIAL NO.
0275Y-000431/CPD	10/616,871
APPLICANT	
Hung T. Du et al.	-
FILING DATE	GROUP
July 10, 2003	2834

U.S. F	PATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		6,449,830	9/17/2002	Amada et al.		
43.		6,448,683	9/10/2002	Wiesler et al.		
44.		6,411,188	6/25/2002	Pruess et al.		
45.		6,404,092	6/11/2002	Baumann et al.		
46.		6,396,189	5/28/2002	Matsushita et al.		
47.		6,334,972	1/1/2002	Kim		
48.		6,288,341	9/11/2001	Tsunoda et al.		
49.		6,278,206	8/21/2001	Yockey et al.		
50.		6,208,056	3/27/2001	Perkins		
51.		6,202,285	3/20/2001	Bell		
52.		6,191,508	2/20/2001	Aoki et al.		
53.		6,181,042	1/30/2001	Grant et al.		
54.		6,181,038	1/30/2001	Van Rooij		
55.		6,579,566	6/17/2003	Moser et al.		
56.		5,436,520	7/25/1995	Huber		
57.		2,756,354	7/24/1956	Baron		
58.		3,244,919	4/5/1966	Drenth et al.		
59.		4,663,835	5/12/1987	Caillier, Sr.		
60.		6,137,205	10/24/2000	Hung et al.		
61.		3,471,731	10/7/1969	Pratt et al.		

Examiner:	Date Considered:

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 4 of 5

ATTORNEY DOCKET No.	SERIAL NO.	
0275Y-000431/CPD	10/616,871	
APPLICANT		
Hung T. Du et al.		
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July 10, 2003	2834	

U.S. P	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
62.		4,105,906	8/8/1978	Ade et al.		
. 63.		4,882,510	11/21/1989	Newberg		
64.		5,019,737	5/28/1991	Bruno		
65.		6,166,462	12/26/2000	Finkenbinder et al.		
66.		6,184,600	2/6/2001	Asao et al.		
67.		6,239,519	5/29/2001	Kaelberer et al.		
68.	,	5,694,268	12/2/1997	Dunfield et al.		
69.		4,160,926	7/10/1979	Cope et al.		

FORE	IGN PATEN	IT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		DE 195 42 564	5/22/1997	Germany		Abstract	
2.	:	DE 195 43 919	4/24/1997	Germany		Abstract	
3.		DE 198 18 105	10/28/1999	Germany		Abstract	
4.		DE 198 34 085	11/25/1999	Germany		Abstract	
5.		DE 198 34 086	1/5/2000	Germany		Abstract	
6.		DE 199 23 886	1/5/2000	Germany		Abstract	
7.		DE 199 39 760	3/8/2001	Germany		Abstract	
8.		DE 32 09 864	9/29/1983	Germany		Abstract	
9.		DE 32 11 716	10/13/1983	Germany		Abstract	

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